

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled TRICYCLIC FUSED HETEROCYCLE COMPOUNDS, PROCESS FOR PREPARING

THE SAME AND USE THEREOF the specification of which

☐ is attached hereto.

☐ was filed on _____ as Application Serial No. _____.

☒ was described and claimed in PCT International Application No. PCT/JP00/03592
filed on June 2, 2000 and as amended under PCT Article 19 on May 30, 2001.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE	PRIORITY CLAIMED	
Japan	157181/1999	June 3, 1999	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Rene D. Tegtmeier, Reg. No. 33,567; John F. Hayden, Reg. No. 37,640; Jonathan P. Osha, Reg. No. 33,986; John C. Phillips, Reg. No. 35,322; Linda Liu Kordziel, Reg. No. 39,732; Eldora L. Ellison, Reg. No. 39,967; William E. Booth, Reg. No. 28,933; Ruffin B. Cordell, Reg. No. 33,487; John W. Freeman, Reg. No. 29,066; Timothy A. French, Reg. No. 30,175; Alan H. Gordon, Reg. No. 26,168; John F. Land, Reg. No. 29,554; G. Roger Lee, Reg. No. 28,963; Ralph A. Mittelberger, Reg. No. 33,195; John B. Pegram, Reg. No. 25,198; Hans R. Troesch, Reg. No. 36,950; Dorothy P. Whelan, Reg. No. 33,814; Charles C. Winchester, Reg. No. 21,040.

Address all telephone calls to John F. Hayden at telephone number 202/783-5070.

Address all correspondence to Rene D. Tegtmeier, Fish & Richardson P.C., 601 13th Street, N.W., Washington, D.C. 20005.

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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Full Name of Inventor _____
Inventor's Signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____



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MAY 14, 2002

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MAY 20 2002

Commissioner for Trademarks
Arlington, VA 22202-3513
www.uspto.gov

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WILLIAM D. HARE
601 THIRTEENTH STREET, NW
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PRACTICE SYSTEMS



102025132A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/26/2002

REEL/FRAME: 012686/0918
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JINNO, SHUJI

DOC DATE: 11/26/2001

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OKITA, TAKAAKI

DOC DATE: 11/26/2001

ASSIGNOR:
OHTSUKA, NAOMI

DOC DATE: 11/26/2001

ASSIGNOR:
YAMASHITA, SHINYA

DOC DATE: 11/26/2001

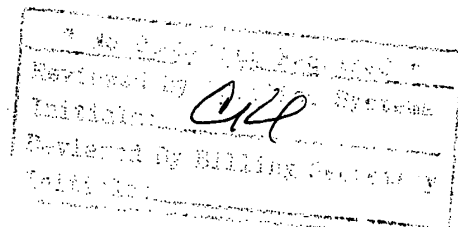
ASSIGNOR:
HATA, JUNICHIRO

DOC DATE: 11/26/2001

ASSIGNOR:
TAKEO, JIRO

DOC DATE: 11/26/2001

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NIPPON SUISAN KAISHA, LTD.
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CHIYODA-KU, TOKYO 100-0004, JAPAN



012686/0918 PAGE 2

SERIAL NUMBER: 09980581
PATENT NUMBER:

FILING DATE: 02/26/2002
ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Rec'd PCT/PTO 26 FEB 2002

Substitute Form PTO-1595
 Attorney Docket No.: 13709-002001
 Client's Ref. No.: CH:HTO, PC/N-66-3US

RE

03-21-2002

EET

09/980581

2/26/02



102025132

Commissioner for Patents: Please record the :

1. Name of conveying party(ies):

Shuji Jinno, Takaaki Okita, Naomi Ohtsuka,
 Shinya Yamashita, Junichiro Hata and Jiro
 Takeo

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Nippon Suisan Kaisha, Ltd.
 6-2, Ohtemachi 2-chome
 Chiyoda-ku, Tokyo 100-0004
 JAPAN

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other:

Execution Date: 11/26/2001

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

09/980,581

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

09/980581

5. Name/address of party to whom correspondence concerning document should be mailed:

WILLIAM D. HARE
 Fish & Richardson P.C.
 601 Thirteenth Street, NW
 Washington, DC 20005

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed
- ☐ Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
 Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William D. Hare

Reg. No. 44,739

Name of Person Signing

William D. Hare
 Signature

February 26, 2002
 Date

Total number of pages including coversheet, attachments and document: pages

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03/01/2002 HINGUYEN 00000039 09980581

02 FC:561

40.00 DP

FISH & RICHARDSON P.C.

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s), transfer(s) and set(s) over to:

NIPPON SUISAN KAISHA, LTD.

having the address:

6-2, Ohtemachi 2-chome, Chiyoda-ku, Tokyo 100-0004 Japan

(hereinafter designated as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may be granted therefor, including said application, and all United States Letters Patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE, its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been and enjoyed by Assignor(s) if this assignment had not been made, the application being known by the Title:

TRICYCLIC FUSED HETEROCYCLE COMPOUNDS, PROCESS FOR PREPARING THE SAME AND USE THEREOF

Attorney Docket No:

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No. 09/980,581

Filing Date: December 3, 2001

1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue application or in any amendments, extension of interference proceedings, or otherwise to secure the title thereto to the ASSIGNEE.

2. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

3. The undersigned agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.

4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents in the United States to issue any and all Letters Patents resulting from said application or any division or divisions or continuing applications thereof to the said ASSIGNEE.

5. The undersigned hereby grant(s) to the firm of Fish & Richardson P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

November 26, 2001
Date

Shuji Jinno
Typed Name: Shuji JINNO

November 26, 2001
Date

Takaaki Okita
Typed Name: Takaaki OKITA

November 26, 2001
Date

Naomi Ohtsuka
Typed Name: Naomi OHTSUKA

This assignment may be signed before a U.S. Notary Public or before at least two witnesses who also sign here:

November 26, 2001
Date

Yayoi Iimori
Typed Name: Yayoi IIMORI

November 26, 2001
Date

Mayumi Tanaka
Typed Name: Mayumi TANAKA

November 26, 2001
Date

Shinya Yamashita
Typed Name: Shinya YAMASHITA

November 26, 2001
Date

Junichiro Hata
Typed Name: Junichiro HATA

November 26, 2001
Date

Jiro Takeo
Typed Name: Jiro TAKEO

Date

Typed Name:

Date

Typed Name:

Date

Typed Name: